

# Hitchin type moduli stacks in automorphic representation theory

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# Contents

- 1 Hitchin moduli stacks and its variants
- 2 Hitchin moduli stacks and the Arthur-Selberg trace formula
- 3 Hitchin moduli stacks and relative trace formulae
- 4 Hitchin moduli stacks and Shtukas  
(or: towards higher automorphic representations)

# Hitchin moduli stack

- $G$ : complex reductive group;  $\mathfrak{g} = \text{Lie}(G)$ .
- $X$ : compact Riemann surface;  $\omega_X$ : canonical bundle.
- A  $G$ -Higgs bundle on  $X$  is a pair  $(\mathcal{E}, \varphi)$  where
  - 1  $\mathcal{E}$ : a principal  $G$ -bundle on  $X$ ;
  - 2  $\varphi$ : a section of the vector bundle  $\text{Ad}(\mathcal{E}) \otimes \omega_X$   
(When  $G = \text{GL}_n$ ,  $\mathcal{E} \leftrightarrow \mathcal{V}$  v.b. of rank  $n$ ,  $\varphi: \mathcal{V} \rightarrow \mathcal{V} \otimes \omega_X$ ).
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- $\mathcal{M}_G$ : the moduli stack of  $G$ -Higgs bundles on  $X$ .

# Key structures of $\mathcal{M}_G$

- (the stable part of)  $\mathcal{M}_G$  admits a hyperKähler structure.
- $\mathcal{M}_G$  is essentially  $T^*\text{Bun}_G$  (holomorphic symplectic).
- The Hitchin map

$$f_G : \mathcal{M}_G \rightarrow \mathcal{A}_G.$$

where  $\mathcal{A}_G \cong \prod_{i=1}^d \Gamma(X, \omega_X^{\otimes d_i})$ , if  $f_1, \dots, f_r$  are the homogeneous free generators of the ring  $\mathbb{C}[\mathfrak{g}]^G$  of degree  $d_1, \dots, d_r$ .

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# Variants

- Group version of  $\mathcal{M}_G$  (relevant to the Arthur-Selberg trace formula).
- Note that

$$\mathcal{M}_G = \{s : X \rightarrow [\mathfrak{g}/G \times \mathbb{G}_m] \cdots\}.$$

Replace  $[\mathfrak{g}/G \times \mathbb{G}_m]$  by other quotient stacks? (relevant to the relative trace formula)

- For example, replace  $[\mathfrak{g}/G \times \mathbb{G}_m]$  by

$$(\mathrm{End}(V) \times V^*)/(\mathrm{GL}(V) \times \mathbb{G}_m)$$

The moduli stack of maps from  $X$  are related to the Hilbert scheme of points on planar curves. ( $\rightsquigarrow$  Maulik-Y., Macdonald formula....)

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# Roles in geometric representation theory

- $\mathcal{M}_G$  is essentially  $T^*\text{Bun}_G$ .
- Geometric Langlands: Beilinson-Drinfeld, Laumon,  $\dots$ .
- Char  $p$ : Bezrukavnikov-Braverman, T.H.Chen-X.Zhu.
- Global Springer theory with applications to double affine Hecke algebras (Y., Oblomkov-Y.)
- Applications to classical Langlands: Ngô's proof of the fundamental lemma (most relevant to this talk).

## §2 Hitchin moduli stacks and the Arthur-Selberg trace formula

# Automorphic forms

- $X/k = \mathbb{F}_q$  curve (projective, smooth, geometrically connected).
- Global function field  $F = k(X)$ .
- Local function field  $F_x \supset \mathcal{O}_x \twoheadrightarrow k(x)$ ;  $\varpi_x$  a uniformizer.
- Ring of adèles  $\mathbb{A} = \prod'_{x \in |X|} F_x$ .
- $G$ : split reductive group over  $k$ .
- **Automorphic forms**: smooth functions on  $G(F) \backslash G(\mathbb{A})$ .
- Cuspidal automorphic representations (when  $G$  is semisimple): irreducible  $G(\mathbb{A})$ -subrepresentations of  $C_c^\infty(G(F) \backslash G(\mathbb{A}))$ .

# Hecke operators

- Fix a compact open subgroup  $K \subset G(\mathbb{A})$  (level).
- The space  $\mathcal{A}_K = C_c(G(F)\backslash G(\mathbb{A})/K)$  is acted upon by the **Hecke algebra**  $\mathcal{H}_K = C_c^\infty(K\backslash G(\mathbb{A})/K)$ .
- **Goal: understand the  $\mathcal{H}_K$ -module  $\mathcal{A}_K$ .**
- The action of  $f = \mathbf{1}_{KgK} \in \mathcal{H}_K$  on  $\mathcal{A}_K$  is given by the pull-push operator  $q_0!p_0^*$  along the correspondence

$$\begin{array}{ccc} & G(F)\backslash G(\mathbb{A})/(K \cap gKg^{-1}) & \\ p_0 \swarrow & & \searrow q_0 \\ G(F)\backslash G(\mathbb{A})/K & & G(F)\backslash G(\mathbb{A})/K \end{array}$$

$p_0$ : natural projection;  $q_0$ : right multiplication by  $g$ .

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# Arthur-Selberg trace formula

Let  $f \in \mathcal{H}_K$ . Arthur-Selberg trace formula expresses the trace of  $f$  on  $\mathcal{A}_K$  in two different ways <sup>1</sup>:

- The geometric expansion

$$\mathrm{Tr}(f, \mathcal{A}_K) \text{ “ = ” } \sum_{\gamma \in G(F)/\sim} J_\gamma(f).$$

Here,  $J_\gamma(f)$  is the **orbital integral**

$$J_\gamma(f) = \mathrm{vol}(G_\gamma(F) \backslash G_\gamma(\mathbb{A}), \mu_{G_\gamma}) \int_{G_\gamma(\mathbb{A}) \backslash G(\mathbb{A})} f(g^{-1}\gamma g) \frac{\mu_G}{\mu_{G_\gamma}}(g)$$

$\mu_G$  and  $\mu_{G_\gamma}$  are Haar measures on  $G(\mathbb{A})$  and  $G_\gamma(\mathbb{A})$ .

- The spectral expansion: a sum over automorphic representations.

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# Geometric interpretation

Goal:

$$\begin{array}{ccccc} G(F)\backslash G(\mathbb{A})/K & \xleftarrow{p_0} & G(F)\backslash G(\mathbb{A})/K \cap gKg^{-1} & \xrightarrow{q_0} & G(F)\backslash G(\mathbb{A})/K \\ \text{Geometrize} \downarrow \text{wavy} & & \text{Geometrize} \downarrow \text{wavy} & & \text{Geometrize} \downarrow \text{wavy} \\ \text{Bun}_{G,K} & \xleftarrow{p} & \text{Hk}_{G,K}gK & \xrightarrow{q} & \text{Bun}_{G,K} \end{array}$$

# Geometric interpretation

- Let  $K_0 = \prod_{x \in |X|} G(\mathcal{O}_x)$ . Weil's observation: full embedding of groupoids

$$G(F) \backslash G(\mathbb{A}) / K_0 \hookrightarrow \text{Bun}_G(k) = \{\text{principal } G\text{-bundles over } X\}.$$

- When  $G$  is split, this is in fact an equivalence.
- Level structures: for a compact open  $K = \prod_{x \in |X|} K_x \subset K_0$ ,  $G(F) \backslash G(\mathbb{A}) / K$  is equivalent to the groupoid of  $G$ -bundles on  $X$  with  $K$ -level structures.

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# Geometric interpretation

- Double coset  $KgK \subset G(\mathbb{A})$  specifies the **relative position** of two  $G$ -bundles with  $K$ -level structures whose generic fibers are identified.
- Example:  $G = \mathrm{GL}_n$ ,  $K = K_0$ ,  $g = (g_x)$  where  $g_x = \mathrm{diag}(\varpi_x, 1, 1, \dots, 1)$  for  $x = x_0$  and  $g_x = 1$  otherwise.  
 $\mathcal{V}$  and  $\mathcal{V}'$ : two vector bundles of rank  $n$  ( $\mathrm{GL}_n$ -bundles  $\iff$  rank  $n$  vector bundles).  
A rational map  $\varphi : \mathcal{V} \dashrightarrow \mathcal{V}'$  is in relative position  $KgK$   
 $\iff \varphi$  extends to an injective map of coherent sheaves  $\mathcal{V} \rightarrow \mathcal{V}'$  whose cokernel is the skyscraper  $k(x_0)$ .

# Geometric interpretation

We introduce some moduli stacks.

- $\text{Bun}_{G,K}$ : moduli stack of  $G$ -bundles on  $X$  with  $K$ -level structures.
- $\text{Hk}_{G,KgK}$ : moduli stack of triples  $(\mathcal{E}, \mathcal{E}', \alpha)$  where  $\mathcal{E}, \mathcal{E}'$  are  $G$ -bundles with  $K$ -level structures on  $X$ , and  $\alpha : \mathcal{E} \dashrightarrow \mathcal{E}'$  is a *rational* isomorphism between  $\mathcal{E}$  and  $\mathcal{E}'$  with relative position given by  $KgK$ .
- Hecke correspondence diagram

$$\text{Bun}_{G,K} \xleftarrow{p} \text{Hk}_{G,KgK} \xrightarrow{q} \text{Bun}_{G,K}$$

$$\mathcal{E} \longleftarrow | (\mathcal{E}, \mathcal{E}', \alpha) | \longrightarrow \mathcal{E}'$$

Taking  $k$ -points, recover the previous Hecke diagram.

- Define the stack  $\mathcal{M}_{G,KgK}$  by the Cartesian diagram

$$\begin{array}{ccc} \mathcal{M}_{G,KgK} & \longrightarrow & \mathrm{Hk}_{G,KgK} \\ \downarrow & & \downarrow (p,q) \\ \mathrm{Bun}_{G,K} & \xrightarrow{\Delta} & \mathrm{Bun}_{G,K} \times \mathrm{Bun}_{G,K} \end{array}$$

- “Trace equals integrating the kernel function over the diagonal”  $\Rightarrow$

$$\mathrm{Tr}(\mathbf{1}_{KgK}, \mathcal{A}_K) = \#\mathcal{M}_{G,KgK}(k).$$

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# $\mathcal{M}_{G,KgK}$ and the trace formula

- $\mathcal{M}_{G,KgK}$  classifies  $(\mathcal{E}, \varphi)$  where  $\mathcal{E}$  is a  $G$ -bundle over  $X$  with  $K$ -level structures, and  $\varphi : \mathcal{E} \dashrightarrow \mathcal{E}$  is a **rational automorphism** with relative position given by  $KgK$ .
- $\mathcal{M}_{G,KgK}$  is a group version of  $\mathcal{M}_G$ .
- How to see the geometric expansion geometrically?
- It comes from an analogue of the Hitchin map

$$h_G : \mathcal{M}_{G,KgK} \rightarrow \mathcal{B}_{G,KgK}.$$

sending  $(\mathcal{E}, \varphi)$  to invariants of  $\varphi_\eta$ ;  $\mathcal{B}_{G,KgK}$  an affine scheme over  $k$ .



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# Hitchin map

- Grothendieck-Lefschetz trace formula implies for any  $b \in \mathcal{B}_{G,KgK}(k)$

$$\sum_{\gamma \in G(F)/\sim, \chi(\gamma)=b} J_{\gamma}(\mathbf{1}_{KgK}) = \#h_G^{-1}(b) = \text{Tr}(\text{Frob}_b, (\mathbf{R}h_! \mathbb{Q}_{\ell})_b).$$

stable orbital integrals  $\leftrightarrow$  cohomology

Summing over  $b \in \mathcal{B}_{G,KgK}(k)$  gives the geometric expansion of the trace.

- Such an interpretation of the orbital integrals is the starting point of B.C.Ngô's proof of the Langlands-Shelstad fundamental lemma.
- For more, see E.Frenkel, B-C.Ngo, Geometrization of trace formulas.

## §3 Hitchin moduli stacks and relative trace formulae

# Relative trace formula

- Let  $H_1, H_2$  be subgroups of  $G$ .

$$\begin{array}{ccc} H_1 & \longrightarrow & G \longleftarrow H_2 \\ & & \downarrow \text{wavy} \\ H_1(F) \backslash H_1(\mathbb{A}) / K_1 & \xrightarrow{\varphi_1} & G(F) \backslash G(\mathbb{A}) / K \xleftarrow{\varphi_2} H_2(F) \backslash H_2(\mathbb{A}) / K_2 \end{array}$$

(Here  $K_i = H_i(\mathbb{A}) \cap K$ .)

- The **relative trace** of  $f \in \mathcal{H}_K$  with respect to  $(H_1, H_2)$ :

$$\text{RTr}_{H_1, H_2}^G(f) = \langle \varphi_{1,!} \mathbf{1}, f \cdot \varphi_{2,!} \mathbf{1} \rangle_{L^2(G(F) \backslash G(\mathbb{A}) / K)}$$

Here  $\varphi_{i,!} \mathbf{1}$  is the pushforward of the constant function (measure) along  $\varphi_i$ .

# Variant of Hitchin moduli

- $\text{RTr}_{H_1, H_2}^G(f)$  also has:
  - ① geometric expansion: (relative) orbital integrals;
  - ② spectral expansion: periods of automorphic forms.
- Geometric interpretation

$$\mathcal{M}_{G, KgK} \rightsquigarrow \mathcal{M}_{H_1, H_2, KgK}^G$$

the latter classifies  $(\mathcal{E}_1, \mathcal{E}_2, \alpha)$  where

- ①  $\mathcal{E}_i$ : an  $H_i$ -bundle with  $K_i$ -structure over  $X$  for  $i = 1, 2$ ;
  - ②  $\alpha$ : a rational isomorphism between the  $G$ -bundles induced from  $\mathcal{E}_1$  and  $\mathcal{E}_2$ , with relative position given by  $KgK$ .
- Special case ( $G = G_1 \times G_1, H_1 = H_2 = \Delta(G_1)$ ) recovers the Arthur-Selberg trace for  $G_1$ .

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# The Jacquet-Rallis relative trace formula

- Motivation: Gan-Gross-Prasad conjecture for unitary groups.  
(Branching law)
- Jacquet and Rallis consider two situations. Let  $F$  be a global field,  $E/F$  a quadratic extension ( $\eta : \mathbb{A}_F^\times \rightarrow \{\pm 1\}$  corresponds to  $E/F$ .)
  - 1  $G = R_{E/F}\mathrm{GL}_n \times R_{E/F}\mathrm{GL}_{n-1}$ ,  
 $H_1 = \Delta(R_{E/F}\mathrm{GL}_{n-1})$ ,  
 $H_2 = \mathrm{GL}_n \times \mathrm{GL}_{n-1}$  (all over  $F$ ).  
The constant function on  $H_2(\mathbb{A}_F)$  is replaced by the character  $\eta \circ \det$  on  $\mathrm{GL}_{n-1}(\mathbb{A}_F)$ .
  - 2  $G' = \mathrm{U}_n \times \mathrm{U}_{n-1}$ ,  
 $H'_1 = H'_2 = \Delta(\mathrm{U}_{n-1})$ .  
Here  $\mathrm{U}_{n-1}$  is the unitary group associated with a Hermitian  $E$ -space  $V_{n-1}$  of dimension  $n-1$ ;  $\mathrm{U}_n$  is the one associated to  $V_n = V_{n-1} \oplus E \cdot e_n$ ,  $(e_n, e_n) = 1$ .
- Compare  $\mathrm{RTr}_{H_1, H_2}^G$  and  $\mathrm{RTr}_{H'_1, H'_2}^{G'}$ .

# The Jacquet-Rallis orbital integrals

- The local orbital integral relevant to the first situation is

$$J_{x,\gamma}^{\text{GL}}(f) := \int_{\text{GL}_{n-1}(F_x)} f(h^{-1}\gamma h)\eta_x(\det h)dh, \gamma \in \text{S}_n(F_x),$$
$$f \in C_c^\infty(\text{S}_n(F_x)), \text{ where } \text{S}_n = \{g \in R_{E/F}\text{GL}_n \mid \sigma(g) = g^{-1}\}.$$

- The local orbital integral relevant to the second situation is

$$J_{x,\delta}^{\text{U}}(f) = \int_{\text{U}_{n-1}(F_x)} f(h^{-1}\delta h)dh, \quad \delta \in \text{U}_n(F_x), f \in C_c^\infty(\text{U}_n(F_x)).$$

# The fundamental lemma

## Theorem (Y., 2011)

Assume  $F$  is a function field,  $x$  is a place of  $F$  such that  $E_x/F_x$  is unramified and the Hermitian space  $V_{n,x}$  has a self-dual lattices  $\Lambda_{n,x}$ . Then for strongly regular semisimple elements  $\gamma \in \mathcal{S}_n(F_x)$  and  $\delta \in \mathcal{U}_n(F_x)$  with the same invariants, we have

$$J_{x,\gamma}^{\text{GL}}(\mathbf{1}_{\mathcal{S}_n(\mathcal{O}_x)}) = \pm J_{x,\delta}^{\text{U}}(\mathbf{1}_{\mathcal{U}(\Lambda_{n,x})})$$

for an explicitly defined sign depending on the invariants of  $\gamma$ .

Proof: first reduce to the Lie algebra version, then study the geometry of Hitchin-like fibrations attached to  $\mathcal{M}_{H_1, H_2}^G$  and  $\mathcal{M}_{H'_1, H'_2}^{G'}$ .

# Application

- J.Gordon has extended the above theorem to mixed characteristic local fields, using model theory.
- W.Zhang used the J-R relative trace formulae and the fundamental lemma proved above to prove the Gan-Gross-Prasad conjecture for unitary groups (under some local conditions).

## §4 Hitchin moduli stacks and Shtukas

# Moduli of Shtukas

$$\frac{\text{Shimura varieties}}{\text{Number Fields}} \quad \ddot{::} \quad \frac{\text{Moduli of Shtukas (one leg; minuscule)}}{\text{Function Fields}}$$

- Drinfeld introduced the notion of Shtukas, generalizing his elliptic modules. He used Shtukas to prove the global Langlands correspondence for  $GL_2$  over function fields.
- Varshavsky introduced  $G$ -Shtukas for a reductive group  $G$ .
- L.Lafforgue used Drinfeld's Shtukas to prove the global Langlands correspondence for  $GL_n$  over function fields.
- V.Lafforgue used  $G$ -Shtukas to prove the “automorphic to Galois” direction of the global Langlands correspondence for reductive groups  $G$  over function fields.

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- Drinfeld introduced the notion of Shtukas, generalizing his elliptic modules. He used Shtukas to prove the global Langlands correspondence for  $GL_2$  over function fields.
- Varshavsky introduced  $G$ -Shtukas for a reductive group  $G$ .
- L.Lafforgue used Drinfeld's Shtukas to prove the global Langlands correspondence for  $GL_n$  over function fields.
- V.Lafforgue used  $G$ -Shtukas to prove the “automorphic to Galois” direction of the global Langlands correspondence for reductive groups  $G$  over function fields.

# Definition of moduli of Shtukas

The definition is similar to that of  $\mathcal{M}_{G,K}^g$ : just replace the diagonal map by the graph of Frobenius.

$$\begin{array}{ccc} \mathrm{Sht}_{G,K}^{\mu} & \longrightarrow & \mathrm{Hk}_{G,K}^{\mu} \\ \downarrow & & \downarrow (p_0, p_r) \\ \mathrm{Bun}_{G,K} & \xrightarrow{(\mathrm{id}, \mathrm{Fr})} & \mathrm{Bun}_{G,K} \times \mathrm{Bun}_{G,K} \end{array}$$

- $r$ : the number of legs.
- $\mu = (\mu_1, \dots, \mu_r)$ ,  $\mu_i$  dominant coweights,
- $\mathrm{Hk}_{G,K}^{\mu}$  classifies chains of modifications at legs  $x_i$

$$\mathcal{E}_0 - \frac{f_1}{x_1} \succ \mathcal{E}_1 - \frac{f_2}{x_2} \succ \dots - \frac{f_r}{x_r} \succ \mathcal{E}_r$$

where the relative position of  $f_i$  is bounded by  $\mu_i$ ,  $1 \leq i \leq r$ .



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# Basic structures and properties

- Key structure: recording the legs gives a map

$$\mathrm{Sht}_{G,K_0}^{\mu} \rightarrow X^r$$

- When all  $r = 0$  (so  $\mu = \emptyset$ ),  $\mathrm{Sht}_{G,K}^{\emptyset} = \mathrm{Bun}_{G,K}(k)$ .
- When all  $\mu_i$  are minuscule,  $\mathrm{Sht}_{G,K}^{\mu}$  is smooth. (compare: Shimura varieties)
- $\mathrm{Sht}_{G,K}^{\mu}$  is a Deligne-Mumford stack over  $k = \mathbb{F}_q$  of dimension  $\sum_{i=1}^r (\langle 2\rho, \mu_i \rangle + 1)$ . It is locally of finite type but usually **not of finite type** (main difficulty compared to Shimura varieties).
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# “Higher” automorphic forms

- Automorphic forms  $\in C(\text{Bun}_{G,K}(k)) = H^*(\text{Sht}_{G,K}^\emptyset)$ .

## Definition

**Higher automorphic forms** are cohomology classes of  $\text{Sht}_{G,K}^\mu$  for general  $\mu$ .

- Similarity: both have Hecke actions.
- Why higher? Automorphic forms appear in  $H^*(\text{Sht}_{G,K}^\mu)$  with interesting multiplicity spaces which carry information about the Galois side.
- Interaction of  $\text{Sht}_{G,K}^\mu$  as  $\mu$  varies is crucial in V.Lafforgue’s work.

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# Periods of automorphic representations

- Period of an automorphic representation  $\pi$  of  $G(\mathbb{A})$  along a subgroup  $H$  is the  $H(\mathbb{A})$ -invariant linear functional

$$\mathcal{P}_{H,\pi}^G : \pi \rightarrow \mathbb{C}$$
$$\varphi \mapsto \int_{H(F)\backslash H(\mathbb{A})} \varphi$$

## Ansatz

Periods are related to special values of  $L$ -functions.

- Example:  $G = \mathrm{GL}_2$ ,  $H =$  diagonal torus  $\rightsquigarrow$  the standard  $L$ -function of  $\pi$ .
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# Higher periods

- Let  $H$  be a reductive subgroup of  $G$ . If the choices of  $\lambda$  and  $\mu$  satisfy certain root-theoretic conditions, there is a natural map

$$\theta : \mathrm{Sht}_H^\lambda \rightarrow \mathrm{Sht}_G^\mu.$$

- Under further conditions,  $\theta^*$  induces a map on intersection cohomology and defines a linear map

$$\mathcal{P}_{H,\lambda}^{G,\mu} : \mathrm{IH}_c^{2d_H(\lambda)}(\mathrm{Sht}_G^\mu \otimes \bar{k}) \xrightarrow{\theta^*} \mathrm{H}_c^{2d_H(\lambda)}(\mathrm{Sht}_H^\lambda \otimes \bar{k}) \xrightarrow{\int_{\mathrm{Sht}_H^\lambda}} \bar{\mathbb{Q}}_\ell.$$

## Definition

Let  $\pi$  be a cuspidal automorphic representation of  $G(\mathbb{A})$ . Restricting  $\mathcal{P}_{H,\lambda}^{G,\mu}$  to the  $\pi$ -part

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# Higher periods

## Ansatz

Higher periods are related to higher derivatives of  $L$ -functions.

Let  $\pi$  be a (sufficiently general) cuspidal automorphic representation of  $G(\mathbb{A})$ . The  $\pi$ -part of  $\mathrm{IH}_c^{2d_H(\lambda)}(\mathrm{Sht}_G^\mu \otimes \bar{k})$  is expected to be

$$\pi \otimes \left( \otimes_{i=1}^r \mathrm{H}^1(X \otimes \bar{k}, j_{!*} \rho_\pi^{\mu_i}) \right).$$

- 1  $\pi \mapsto \rho_\pi$  is the global Langlands correspondence ( $\rho_\pi$  is a  $\widehat{G}$ -local system on  $\mathrm{Spec} F$ );
- 2  $\rho_\pi^{\mu_i}$  the induced local system wrt the rep  $V_{\mu_i}$  of  $\widehat{G}$ ;
- 3  $j_{!*} \rho_\pi^{\mu_i}$ : middle extension to  $X$ .

The higher  $H$ -periods of  $\pi$  take the form

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# Higher Gross-Zagier formula – setup

- $G = \mathrm{PGL}_2$ , no level structure  $K = K_0 = \prod_x G(\mathcal{O}_x)$ .
- $r \geq 0$  an even integer.
- $\mu = (\mu_1, \dots, \mu_r)$ , where each  $\mu_i$  is the minuscule coweight for  $G$ . We have the moduli stack  $\mathrm{Sht}_G^r := \mathrm{Sht}_{G, K_0}^\mu$ .
- $X'/X$ : an unramified double cover.
- $\mathrm{Sht}_T^\lambda := \mathrm{Sht}_{\mathrm{GL}_1, X'}^\lambda / \mathrm{Pic}_X(k)$ , the moduli of rank one Shtukas on  $X'$  with modification type  $\lambda = (\lambda_1, \dots, \lambda_r)$  ( $\lambda_i = \pm 1$ ,  $\sum_i \lambda_i = 0$ ), modulo twisting by line bundles from  $X$ .

# Higher Gross-Zagier formula – setup

- Natural map

$$\begin{aligned} \theta : \text{Sht}_T^\lambda &\rightarrow \text{Sht}_G^{r'} := \text{Sht}_G^r \times_{X^r} X^{r'}. \\ \dim : r &\quad 2r \end{aligned}$$

The image of  $\theta$  gives the **Heegner-Drinfeld** cycle

$$Z^\lambda \in H_c^{2r}(\text{Sht}_G^{r'} \otimes \bar{k}, \mathbb{Q}_\ell(r)).$$

- $\pi$ : everywhere unramified cuspidal automorphic representation  $\pi$  of  $G(\mathbb{A})$ ,
- $Z_\pi^\lambda$ : the projection of  $Z^\lambda$  onto the  $\pi$ -isotypic part  $H_c^{2r}(\text{Sht}_G^{r'} \otimes \bar{k}, \mathbb{Q}_\ell(r))[\pi]$ .  
making sense of this requires serious work, because the cohomology is  $\infty$ -dimensional.

# Higher Gross-Zagier formula – unramified version

## Theorem (Y.-Zhang, 2015)

We have

$$\langle Z_\pi^\lambda, Z_\pi^\lambda \rangle_{\text{Sht}'_G} = \frac{q^{2-2g}}{2(\log q)^r} \frac{\mathcal{L}^{(r)}(\pi_{F'}, 1/2)}{L(\pi, \text{Ad}, 1)}$$

where

- $\langle Z_\pi^\lambda, Z_\pi^\lambda \rangle_{\text{Sht}'_G}$  is the self-intersection number of the cycle class  $Z_\pi^\lambda$ .
- $\pi_{F'}$  is the base change of  $\pi$  to  $F' = k(X')$ .
- $\mathcal{L}(\pi_{F'}, s) = q^{4(g-1)(s-1/2)} L(\pi_{F'}, s)$  is the normalized  $L$ -function of  $\pi_{F'}$  such that  $\mathcal{L}(\pi_{F'}, s) = \mathcal{L}(\pi_{F'}, 1-s)$ .
- $\mathcal{L}^{(r)}(\pi_{F'}, 1/2)$  is the  $r$ -th derivative of  $\mathcal{L}(\pi_{F'}, s)$  at  $s = 1/2$ .

# Remarks on the theorem

- $r = 0$ : unramified case of the Waldspurger formula relating toric periods of  $\pi$  with central  $L$ -values.
- There is a version of the above theorem (Y.-Zhang, 2017) which allows  $\pi$  to have square-free levels, and  $X'/X$  is allowed to be ramified.

In this generalization,  $(-1)^r$  is the same as the sign of the functional equation for  $L(\pi_{F'}, s)$ .

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# Comments on the proof of higher GZ

- Proof does not involve computing either side.
- Key part of the proof: comparing two relative traces

$$\begin{aligned} I(f) &= \langle Z^\lambda, f \cdot Z^\lambda \rangle_{\text{Sht}_G^r} \\ J(f, s) &= \langle \mathbf{1}_{[\text{Pic}_X(k)]}, \eta \mid \cdot \mid_{[\text{Pic}_X(k)]}^s \rangle_{G(F) \backslash G(\mathbb{A}) / K_0} \\ I(f) &\sim J^{(r)}(f, 0). \end{aligned}$$

- Hitchin type moduli spaces play a key role in the proof. Their appearance is not due to the similarity between the moduli of Shtukas and the Hitchin stack, but “orthogonal” to this similarity.
- Similar geometric ideas can be used to prove the function field version of W.Zhang’s Arithmetic Fundamental Lemma (in progress), which related derivatives of (Jacquet-Rallis) orbital integrals to intersection numbers in the moduli of unitary local Shtukas.

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