

# Representations of Finite Groups and Applications

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ICM-2018, Rio de Janeiro

# Some history

Representation theory of finite groups started with the letter correspondence between [Richard Dedekind](#) and [Ferdinand Georg Frobenius](#) in April (12th, 17th, 26th) 1896.

Foundations of *complex* representation theory: developed by [Frobenius](#), [Dedekind](#), [Burnside](#), [Schur](#), [Noether](#), ...

Foundations of *modular* representation theory (over fields of char.  $p > 0$  dividing  $|G|$ ): laid out by [Richard Brauer](#), started in 1935 and continued over the next few decades.

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*Given a finite group  $G$  and a field  $\mathbb{F}$ , describe all irreducible representations of  $G$  over  $\mathbb{F}$ .*

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# Our favorite groups

- The *symmetric group*  $S_n$  and *alternating group*  $A_n$
- *Finite groups of Lie type*:
  - Classical groups on  $V = \mathbb{F}_q^n$ , like  $GL(V) = GL_n(q)$ ,  $SL(V) = SL_n(q)$ ,  $Sp(V)$ , etc.
  - Their twisted and exceptional analogues.

Classification of Finite Simple Groups (CFSG): *The above groups, together with 26 sporadics, account for all finite non-abelian **simple** groups.*



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# Example

## Problem 1 (Main Problem)

Given a finite group  $G$  and a field  $\mathbb{F}$ , describe all irreducible representations of  $G$  over  $\mathbb{F}$ .

$$G = S_n$$

- $\mathbb{F} = \mathbb{C}$ :

$$\begin{array}{ccc} \{\text{Irreducible characters of } G\} & \longleftrightarrow & \{\text{Partitions of } n\} \\ \chi^\lambda & \longleftarrow & \lambda \vdash n \end{array}$$

What is the *largest* degree  $\max_{\lambda \vdash n} \chi^\lambda(1)$  ?

Asymptotic result: [Vershik-Kerov](#) and [Logan-Shepp](#) (1977).

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What is  $\varphi^\lambda(1)$ , say for  $n = 1000$  and  $\lambda = (801, 121, 70, 8)$ ?

Same question for  $G = GL_{1000}(2)$  and  $\mathbb{F} = \mathbb{F}_3$  ?

What is the asymptotic of  $\max_{\chi \in \text{Irr}(GL_n(2))} \chi(1)$  when  $n \rightarrow \infty$ ?

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# Low-dimensional representations

Given  $G$ ,  $\mathbb{F} = \overline{\mathbb{F}}$  of characteristic  $p$

$\mathfrak{d}_p(G)$  = smallest degree of *faithful* representations of  $G$   
over  $\mathbb{F}$

## Problem 2

*Given a simple group  $G$  and  $\mathbb{F}$ ,*

*(i) determine  $\mathfrak{d}_p(G)$ , and*

*(ii) classify irreducible  $\mathbb{F}G$ -representations of degree up to  $\mathfrak{d}_p(G)^2$ .*

Problem 2 looks like a special instance of Main Problem.  
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# Alperin weight conjecture (AWC)

If one cannot describe all irreps of  $G$ , can one at least find a good way to label them?

Jon Alperin: A  $p$ -weight of  $G$  is a pair  $(Q, \delta)$ , where

- $Q$  is a  $p$ -subgroup of  $G$ ,
- $\delta \in \text{Irr}(N_G(Q)/Q)$  with  $\delta(1)_p = |N_G(Q)/Q|_p$

Conjecture 3 (Alperin, 1986)

$$|\{\text{Isomorphism classes of irreps of } G \text{ over } \overline{\mathbb{F}}_p\}| \\ = |\{G\text{-conjugacy classes of } p\text{-weights of } G\}|.$$

AWC implies:  $p$ -modular irreps of  $G$  could be classified by **weights**, as we do in Lie theory for (rational) irreps of reductive algebraic groups.

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# Global-local principle

**AWC** is one of several fundamental conjectures in representation theory; all open until now.

Many of them follow *Global-local principle*:

*Certain **global** invariants of a finite group  $G$  can be determined **locally** (in terms of its  $p$ -subgroups, eg. Sylow  $p$ -subgroups  $P \in \text{Syl}_p(G)$ , their normalizers  $N_G(P)$ , etc.)*

Include: **AWC**, **McKay conjecture**, **Brauer's height zero conjecture**, **Dade's conjectures**, etc.

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# McKay conjecture

Perhaps the easiest one to formulate among the global-local conjectures is:

## Conjecture 4 (McKay, 1971)

$G$  a finite group,  $p$  a prime,  $P \in \text{Syl}_p(G)$ .

There exists a bijection

$$\{\chi \in \text{Irr}(G) \mid p \nmid \chi(1)\} \xleftrightarrow{\pi} \{\psi \in \text{Irr}(N_G(P)) \mid p \nmid \psi(1)\}.$$

**Refinements** (Alperin, Isaacs, Navarro, Turull, ...): *There should exist such a  $\pi$ , which is compatible with*

- *distribution of  $\text{Irr}(G)$  into  $p$ -blocks,*
- *the action of certain Galois automorphisms,*
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# Where do we stand?

The aforementioned conjectures have been proved to hold for various families of finite groups.

But: **none** has been proved to hold for **all** finite groups.

Possible approach: Use the *Classification of Finite Simple Groups* to reduce the conjecture to simple groups.

Already one of the main ideas in Dade's works in the 90s.

**Caution:** If a reduction is found, expect to have to prove **much more** about the simple groups, not just the original conjecture!

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# A reduction theorem for McKay conjecture

## Theorem 2.1 (Isaacs-Malle-Navarro, 2007)

Suppose that every finite non-abelian simple group  $S$  is *McKay-good* for a fixed prime  $p$ . Then *McKay conjecture* holds for arbitrary finite groups (for the prime  $p$ ).

- *McKay-goodness* for the prime  $p$  is much more than just satisfying the *McKay conjecture*. It is in fact a long, complicated, list of conditions.
- Theorem 2.1 plays an important role in proving subsequent reduction theorems.
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## Theorem 2.2 (Navarro-T)

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# AWC-goodness

Which simple groups  $S$  are proved to be AWC-good for  $p$ ?

- $S \in Lie(p)$  (Navarro-T)
- $S \in Alt$  (Malle)
- $S \in Spor$  (An-Dietrich)
- It remains ... to handle  $S \in Lie(p')$  (like  $PSL_n(q)$  with  $p \nmid q$ )

Further reduction theorems for other global-local conjectures:

- Späth
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- $S \in Lie(p)$  (Navarro-T)
- $S \in Alt$  (Malle)
- $S \in Spor$  (An-Dietrich)
- It remains ... to handle  $S \in Lie(p')$  (like  $PSL_n(q)$  with  $p \nmid q$ )

Further reduction theorems for other global-local conjectures:

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## Results on Problem 2

### Problem 2 on Low-dimensional Representations

*Given a simple group  $G$  and  $\mathbb{F}$ ,*

- (i) determine smallest nontrivial degree  $\partial_p(G)$ , and*
- (ii) classify irreducible  $\mathbb{F}G$ -representations of degree up to  $\partial_p(G)^2$ .*

There have been many results on this problem, due to Guralnick, Himstedt, Hiss, Hoffman, James, Kleshchev, Liebeck, Lübeck, Magaard, Malle, T, Zalesskii

Rely on: Deligne-Lusztig theory, Broué-Michel, Bonnafé-Rouquier, **Branching laws**, . . .

# Two particular results

## Theorem 2.3 (Kleshchev-Morotti-T)

*The degree of the irreducible  $\mathbb{F}S_n$ -representation  $D^\lambda$ , labeled by  $\lambda = (n - m, \lambda_2, \dots)$  with  $n \geq p(m - 1)$ , is at least  $(n - p)(n - 2p) \dots (n - mp)/m!$ .*

## Theorem 2.4 (Guralnick-T)

*Suppose  $p \nmid q$ . Then*

$$\partial_p(SL_n(q)) = \frac{q^n - 1}{q - 1} - \begin{cases} 1, & p \nmid \frac{q^n - 1}{q - 1} \\ 2, & p \mid \frac{q^n - 1}{q - 1}. \end{cases}$$

*One irrep of degree  $\partial_p$ ,  $(q - 1)_{p'} - 1$  of degree  $(q^n - 1)/(q - 1)$ . All other nontrivial irreps have degree at least “square” of it.*

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## Problem 5 (Bounding character values)

Let  $S$  be a finite simple group,  $1 \neq g \in S$ .

(i) Find an explicit, and as small as possible,

$0 < \gamma = \gamma(g) < 1$ , such that

$$\frac{|\chi(g)|}{\chi(1)} \leq \gamma, \forall 1_S \neq \chi \in \text{Irr}(S).$$

(ii) Better yet, find an explicit, and as small as possible,

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# Results on Problem 5(ii)

- Case of  $S_n$ :

**Fomin-Lulov** (1996): if all cycles of  $g$  have same size  $m$ , then

$$|\chi(g)| \leq \chi(1)^{1/m+o(1)}$$

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Led to results on: *Mixing times of random walks*, *Waring problem for  $A_n$* , etc.

- What about (quasi)simple groups of Lie type?

$S = \mathcal{G}^F = \{g \in \mathcal{G} \mid F(g) = g\}$ , for  $\mathcal{G}$  a simple algebraic group and a Steinberg endomorphism  $F : \mathcal{G} \rightarrow \mathcal{G}$

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# Character bounds for Lie-type groups. I

## Theorem 2.6 (Bezrukavnikov-Liebeck-Shalev-T)

*There is a constant  $C = C(r)$  such that:  
Let  $S = \mathcal{G}^F$  be a finite (quasi)simple group of Lie type in **good** characteristic  $p$ ,  $r = \text{rank}(\mathcal{G})$ . Let  $g \in S$  be such that  $C_S(g) \leq \mathcal{L}^F$ , for an  $F$ -stable Levi subgroup  $\mathcal{L}$  of a proper  $F$ -stable parabolic subgroup of  $\mathcal{G}$ . Define*

$$\alpha = \alpha(\mathcal{L}^F) := \max_{1 \neq u \in \mathcal{L}^F, u \text{ unipotent}} \frac{\dim u^{\mathcal{L}}}{\dim u^{\mathcal{G}}}.$$

*Then for all  $\chi \in \text{Irr}(S)$ ,*

$$|\chi(g)| \leq C\chi(1)^\alpha.$$

# Character bounds for Lie-type groups. II

- Relies on Lusztig's unipotent support and Kawanaka's wave front sets
- The exponent  $\alpha$  in Theorem 2.6 is sharp in many cases.
- Removing the splitness condition on  $\mathcal{L}$ : work in progress with Bezrukavnikov-Malle-Taylor

Theorem 2.7 (Bezrukavnikov-Liebeck-Shalev-T)

Let  $S = SL_n(q)$  and let  $g \in S \setminus Z(S)$ . Then  
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# Character bounds for Lie-type groups. III

## Theorem 2.8 (Guralnick-Larsen-T)

*For any  $0 < \varepsilon < 1$ , there is  $\delta > 0$  such that the following holds.*

*For any finite (quasi)simple group  $S$  of Lie type, and for any  $g \in S$  with  $|C_S(g)| \leq |S|^\delta$ ,  $|\chi(g)| \leq \chi(1)^\varepsilon$  for all  $\chi \in \text{Irr}(S)$ .*

$S = SL_n(q)$  or  $SU_n(q)$ ,  $\varepsilon = 8/9$ : one can take  $\delta = 1/12$ .

# A modularity lifting theorem

A key ingredient of **Wiles'** proof of **Fermat's Last Theorem**:

Taylor-Wiles Modularity Lifting Theorem (1995)

Let  $p > 2$ ,  $\mathcal{O}$  the ring of integers of a finite extension of

$\mathbb{Q}_p$ ,  $\Phi : G_{\mathbb{Q}} \rightarrow GL_2(\mathcal{O})$  a Galois rep. such that

(i)  $\Phi$  "looks like" coming from a modular form;

(ii) The associated rep.  $\bar{\Phi} : G_{\mathbb{Q}} \rightarrow GL_2(\overline{\mathbb{F}}_p)$  is modular;

(iii)  $\bar{\Phi}(G_{\mathbb{Q}(\sqrt{(-1)^{(p-1)/2}p})})$  is **big**.

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# An automorphy lifting theorem

A key ingredient of the [Harris-Shepherd-Barron-Taylor \(2010\)](#) proof of the [Sato-Tate conjecture](#) (over totally real fields):

## Clozel-Harris-Taylor Automorphy Lifting Theorem (2008)

*Generalizes the [Taylor-Wiles theorem](#), replacing  $GL_2$  by  $GL_n$  and **modular** by **automorphic**.*

Here **bigness** means irreducibility plus more, including one condition on the existence of a special element with a special **multiplicity-one** eigenvalue.



# Adequate subgroups

Thorne (2012) generalizes further the Clozel-Harris-Taylor theorem, replacing **bigness** by **adequacy**:

## Definition 3.1 (Thorne)

Let  $\text{char}(\mathbb{F}) = p$ ,  $V = \mathbb{F}^n$ , and let  $G \leq GL(V)$  be a finite irreducible subgroup. Suppose that

- (i)  $H^1(G, \mathbb{F}) = 0$ ;
  - (ii)  $H^1(G, \text{End}(V)/\mathbb{F} \cdot 1_V) = 0$ ;
  - (iii) The space  $\text{End}(V)$  is spanned by  $p'$ -elements  $x \in G$ .
- Then  $G$  is called **adequate**.

# Adequacy

Can one prove that **all**, or **most**, finite irreducible subgroups of  $GL_n(\mathbb{F})$  are **adequate**?

- If  $p \nmid |G|$ , then  $G$  is adequate.
- If  $p \geq 2n + 2$ , then  $G$  is adequate (Guralnick-Herzig-Taylor-Thorne, 2012). Already used to prove some new lifting theorems.

## Theorem 3.2 (Guralnick-Herzig-T)

*Let  $G < GL(V)$  be a finite irreducible subgroup and let  $G^+$  denote the subgroup of  $G$  generated by all  $p$ -elements  $x \in G$ . Suppose that the  $G^+$ -module  $V$  has a simple submodule of dimension  $< p$ . Then, aside from a few explicitly described examples,  $G$  is adequate.*

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# Tian's $\alpha$ -invariant

For a Kähler manifold  $X$  and a compact subgroup  $G \leq \text{Aut}(X)$ , Tian (1987) defined an invariant  $\alpha_G(X)$ . He used it to prove the existence of a  $G$ -invariant Kähler-Einstein metric on  $X$  in some important cases.

Case of interest: a finite group  $G < GL_n(\mathbb{C})$  acts on  $\mathbb{P}^{n-1}$ . Then  $\alpha_G(\mathbb{P}^{n-1})$  is also known as the **log-canonical threshold**  $\text{lct}(\mathbb{P}^{n-1}, G)$ .

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# Thompson's theorem

## Theorem 3.3 (Thompson, 1981)

*Suppose that  $G < GL_n(\mathbb{C})$  is any finite group. Then  $\alpha_G(\mathbb{P}^{n-1}) \leq 4n$ .*

$G < GL(V)$  is said to have a **semi-invariant** of degree  $k$  on  $V$  if  $\text{Sym}^k(V)$  contains a one-dimensional  $G$ -submodule.

$d(G) := \min\{k \geq 1 \mid G \text{ has a semi-invariant of degree } k \text{ on } V\}$

The connection (Cheltsov-Shramov):

$$\alpha_G(\mathbb{P}V) \leq d(G) / \dim V.$$

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*Thompson's conjecture holds, with  $C = 1184036$ .*

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*Any finite subgroup  $G < GL_n(\mathbb{C})$  admits an invariant of degree  $\leq Cn \cdot |G/G'|$ , with  $C = 1184036$ .*

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# Word maps

$F_d$  the free group on  $x_1, \dots, x_d$ ,  $1 \neq w \in F_d$ ,  $G$  any group

**Word map**  $w : G^d \rightarrow G$ ,

$w(G) := w(G^d) = \{w(g_1, \dots, g_d) \mid g_i \in G\}$

## Problem 7 (Non-commutative Waring Problem)

Let  $1 \neq w \in F_d$  and let  $G$  be simple.

- (i) How large is  $w(G)$ ?
- (ii) Assuming  $w(G) \neq 1$ , what is the *width* of  $w$ :  
 $c(w) := \min\{k > 0 \mid w(G)^k = G\}$
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# Word maps

$F_d$  the free group on  $x_1, \dots, x_d$ ,  $1 \neq w \in F_d$ ,  $G$  any group

**Word map**  $w : G^d \rightarrow G$ ,

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## Problem 7 (Non-commutative Waring Problem)

Let  $1 \neq w \in F_d$  and let  $G$  be simple.

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# Word maps on simple groups. I

## Theorem 3.6 (Larsen-Shalev-T)

Let  $w = w_1 w_2$  be a product of disjoint words  
 $1 \neq w_1, w_2 \in F_d$  and let  $G$  be simple.

- (i) If  $|G|$  is large enough, then  $w(G) = G$ .
- (ii) If  $|G|$  is large enough, then any nontrivial word map  $w_1$  has width 2.
- (iii) When  $|G| \rightarrow \infty$ ,  $p_{w,G}$  induces an almost uniform distribution on  $G$ :

$$\lim_{|G| \rightarrow \infty} \|p_{w,G} - U_G\|_{L^1} = 0.$$

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# Word maps on simple groups. II

Word maps that are surjective on all simple groups:

- (i)  $w(x, y) = xyx^{-1}y^{-1}$  (Ore conjecture, Liebeck-O'Brien-Shalev-T)
  - (ii)  $w(x, y) = x^N y^N$ ,  $N = p^a q^b$  any product of two prime powers (Guralnick-Liebeck-O'Brien-Shalev-T)
  - (iii)  $w(x, y) = x^N y^N z^N$  with  $N$  any odd integer (Guralnick-Liebeck-O'Brien-Shalev-T)
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# Random walks on finite groups

$G = \langle S \rangle$  a finite group

**Cayley graph**  $\Gamma = \Gamma(G, S)$

Vertices:  $G$ , Edges:  $\{(g, gs) \mid g \in G, s \in S\}$

**Random walk on  $\Gamma$** : Start from 1. At each step choose the next edge uniformly with probability  $1/|S|$ .

**Mixing time**  $T = T(G, S)$ : the minimal number of steps required to reach an almost uniform distribution on  $G$

$$T := \min \left\{ k > 0 : \|P^k - U\|_{L^1} := \sum_{x \in G} |P^k(x) - U(x)| < \frac{1}{e} \right\}.$$

# Random walks on simple groups

Diaconis-Shashahani (1981):  $G = S_n$ ,  $S = \{1, 2\text{-cycles}\}$

Hildebrand (1992):  $G = SL_n(q)$ ,  $S = \{\text{transpositions}\}$ ,  $T \approx n$

Theorem 3.7 (Bezrukavnikov-Liebeck-Shalev-T)

*Let  $G = SL_n(q)$  and let  $x \in G \setminus Z(G)$ . The random walk on  $\Gamma = \Gamma(G, x^G)$  has mixing time  $\leq 2n + 3$ , if  $q$  is large.*

Theorem 3.8 (Guralnick-Larsen-T)

*There is  $\gamma > 0$  such that:*

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# Random walks on group representations

$G$  a finite group,  $\alpha$  a fixed faithful character

$\Gamma(G, \alpha)$ : Vertices  $\text{Irr}(G)$ , Edges  $\{(\chi, \varphi) \mid [\varphi, \chi\alpha]_G > 0\}$

**Random walk on  $\Gamma$** : Start at  $1_G$ . At each vertex  $\chi$  choose the next edge  $\varphi$  with probability  $\frac{[\varphi, \chi\alpha]_G \cdot \varphi(1)}{\chi(1)\alpha(1)}$ .

- Random walks on complex representations: Fulman, Diaconis
- Random walks on modular representations: Benkart-Diaconis-Liebeck-T
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