

The Teichmüller TQFT

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Motivation: quantum Chern–Simons theory

non-compact gauge groups

Given a **non-compact** Lie group G (e.g. $GL(2, R)$), a 3-manifold M .

Gauge fields = G -connections $A \in \mathcal{A} := \Omega^1(M, \text{Lie } G)$.

Group of **gauge transformations** $\mathcal{G} := \mathcal{C}^\infty(M, G)$,

$$\mathcal{A} \times \mathcal{G} \rightarrow \mathcal{A}, \quad (A, g) \mapsto A^g := g^{-1} A g + g^{-1} dg$$

Chern–Simons action functional

$$CS_M(A) := \int_M \text{Tr} (3A \wedge dA + 2A \wedge A \wedge A)$$

Phase space = space of flat connections = $\text{hom}(\pi_1(M), G)/G$.

Quantum **partition function**

$$Z_{\hbar}(M) = \int_{\mathcal{A}/\mathcal{G}} e^{\frac{i}{\hbar} CS_M(A)} \mathcal{D}A$$

expected to be a topological invariant of M .

Problem: give a mathematically rigorous definition and a calculational recipe for $Z_{\hbar}(M)$.

Some of the relevant works

- 1 Regge–Ponzano (1968)
- 2 Witten (1988/89, 1991, 2011), Bar-Natan–Witten (1991)
- 3 Verlinde (1990)
- 4 Reshetikhin–Turaev (1991), Turaev–Viro (1992)
- 5 [K \(1994, 1998\)](#)
- 6 Fock–Chekhov (1999)
- 7 Hikami (2001, 2007)
- 8 Baseilhac–Benedetti (2004)
- 9 Teschner (2007)
- 10 [K–Reshetikhin \(2007\)](#)
- 11 Dimofte–Gukov–Lenells–Zagier (2009)
- 12 Dijkgraaf–Fuji–Manabe (2011)
- 13 [Geer–K–Turaev \(2012\)](#)
- 14 Dimofte–Gaiotto–Gukov (2013)
- 15 [Andersen–K \(2014\)](#), [Garoufalidis–K](#), [K–Luo–Vartanov \(2015\)](#)
- 16 Andersen–Marzioni, Andersen–Kratmann–Nissen (2017)
- 17 Mikhaylov (2018)

Teichmüller space

$S = S_{g,s}$ oriented surface of genus g punctured at s points,
 $(2g - 2 + s)s > 0$;

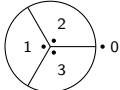
Chern–Simons theory with $G = PSL(2, \mathbb{R})$, $M = S \times \mathbb{R}$.

Teichmüller space $\mathcal{T}_S \subset \text{hom}(\pi_1(S), G)/G$

- connected component corresponding to discrete faithful representations = set of hyperbolic structures on S ;
- Weil–Peterson symplectic structure;
- mapping class group Γ_S acting by symplectomorphisms.

Useful fact: Γ_S freely acts on the set Δ_S of decorated ideal triangulations of S

- each triangle carries a marked corner;
- all triangles are linearly ordered (enumerated).

Example: $S = S_{0,4}$,  $\in \Delta_S$

Algebraic framework of groupoids

Groups freely acting on sets

To any group G freely acting on a set X , one associates a connected groupoid $\mathcal{G}_{G,X}$ as follows:

- 1 $\text{Ob } \mathcal{G}_{G,X} = X/G$;
- 2 $\text{Mor } \mathcal{G}_{G,X} = (X \times X)/G$ (diagonal action);
- 3 source $s([x, y]) = [x]$, target $t([x, y]) = [y]$;
- 4 $[x, y]$ and $[u, v]$ are composable iff $[y] = t([x, y]) = s([u, v]) = [u]$, i.e. $\exists! g \in G, y = gu$,
 $[x, y][u, v] = [x, y][gu, gv] = [x, y][y, gv] = [x, gv]$ (adopting the composition convention of fundamental groupoids).

$$\text{id}_{[x]} = [x, x], [x, y]^{-1} = [y, x], \text{Mor}([x], [x]) \simeq G.$$

Theorem (Hyun Kyu Kim, 2016)

The groupoid $\mathcal{G}_{\Gamma_S, \Delta_S}$ admits the following presentation.

Generators:

① *transpositions* $\tau_{a,b} := \left[\begin{array}{c} \triangle \\ \cdot \\ a \end{array} \cdots \begin{array}{c} \triangle \\ \cdot \\ b \end{array}, \begin{array}{c} \triangle \\ \cdot \\ b \end{array} \cdots \begin{array}{c} \triangle \\ \cdot \\ a \end{array} \right];$

② *corner changes* $\rho_a := \left[\begin{array}{c} \triangle \\ \cdot \\ a \end{array}, \begin{array}{c} \triangle \\ \cdot \\ a \end{array} \right];$

③ *diagonal flips* $\omega_{a,b} := \left[\begin{array}{c} \square \\ \cdot \\ a \end{array}, \begin{array}{c} \square \\ \cdot \\ b \end{array} \right].$

Relations:

① $\tau_{a,b} = \tau_{b,a} = \tau_{a,b}^{-1}, \tau_{a,b}\tau_{b,c}\tau_{a,b} = \tau_{a,c};$

② $\rho_a\rho_a = \rho_a^{-1}, \omega_{a,b}\omega_{b,c} = \omega_{b,c}\omega_{a,c}\omega_{a,b}, \rho_a\rho_b\omega_{b,a}\rho_a^{-1}\omega_{a,b} = \tau_{a,b};$

③ *trivial relations:* $\rho_a\rho_b = \rho_b\rho_a, \omega_{a,b}\rho_c = \rho_c\omega_{a,b},$
 $\tau_{a,b}\rho_a = \rho_b\tau_{a,b}, \text{ etc.}$

Let $\mathcal{C} = (\mathcal{C}, \otimes, \{P_{X,Y}\}_{X,Y \in \text{Ob } \mathcal{C}})$ be a symmetric monoidal category. A *basic algebraic system* (BAS) in \mathcal{C} consists of an object $V \in \text{Ob } \mathcal{C}$ and two morphisms $R \in \text{End}(V)$ and $W \in \text{End}(V \otimes V)$ such that

- 1 $R^3 = \text{id}_V$;
- 2 $W_{1,2}W_{2,3} = W_{2,3}W_{1,3}W_{1,2}$ in $\text{End}(V^{\otimes 3})$;
- 3 $R_1R_2W_{2,1}R_1^{-1}W_{1,2} = P_{1,2}$ in $\text{End}(V^{\otimes 2})$, $P_{1,2} := P_{V,V}$.

Theorem

Let (V, R, W) be a BAS in a symmetric monoidal category \mathcal{C} .

Then there exists a canonical representation

$\mathcal{F} : \mathcal{G}_{\Gamma_S, \Delta_S} \rightarrow \text{Aut}(V^{\otimes 2(2g-2+s)})$ such that $\tau_{a,b} \mapsto P_{a,b}$, $\rho_a \mapsto R_a$, $\omega_{a,b} \mapsto W_{a,b}$.

BAS from Teichmüller theory

By using ratios of [Penner's \$\lambda\$ -coordinates](#) for \mathcal{T}_S , one obtains a [Teichmüller BAS](#) (V, R, W) in the symmetric monoidal category of sets (\mathbf{Set}, \times) , where $V = \mathbb{R}_{>0}^2$ and $R: V \rightarrow V$, $W: V^2 \rightarrow V^2$ are defined by

$$R(x_1, x_2) = \left(\frac{1}{x_2}, \frac{x_1}{x_2} \right), \quad W(\vec{x}, \vec{y}) = (\vec{x} \cdot \vec{y}, \vec{x} * \vec{y})$$

where

$$\vec{x} \cdot \vec{y} := (x_1 y_1, x_1 y_2 + x_2), \quad \vec{x} * \vec{y} := \left(\frac{x_2 y_1}{x_1 y_2 + x_2}, \frac{y_2}{x_1 y_2 + x_2} \right).$$

These maps are consistent with the symplectic structure

$$\omega_V = \frac{dx_2 \wedge dx_1}{x_2 x_1}.$$

Quantized Teichmüller BAS

By quantizing the Teichmüller BAS, for any $\hbar \in \mathbb{R}_{>0}$, one associates a (projective) **quantum Teichmüller BAS** $(\hat{V}, \hat{R}, \hat{W})$ in the symmetric monoidal category of (separable) complex Hilbert spaces $(\mathbf{Hilb}, \hat{\otimes})$, where $\hat{V} = L^2(\mathbb{R})$ and unitary operators

$$\hat{R} := e^{-\frac{i\pi}{3}} e^{i3\pi\hat{q}^2} e^{i\pi(\hat{p}+\hat{q})^2}, \quad \hat{W} := \Phi_{\hbar}(\hat{q}_1 + \hat{p}_2 - \hat{q}_2) e^{-2\pi i \hat{p}_1 \hat{q}_2}$$

with (normalised self-adjoint) **Heisenberg's operators** in $L^2(\mathbb{R})$

$$\hat{p}f(x) = (2\pi i)^{-1} f'(x), \quad \hat{q}f(x) = xf(x)$$

and **Faddeev's quantum dilogarithm** function

$$\Phi_{\hbar}(x) := \exp\left(\int_{\mathbb{R}+i\epsilon} \frac{e^{-i2xz}}{4 \sinh(zb) \sinh(zb^{-1})z} dz\right), \quad b+b^{-1} = 1/\sqrt{\hbar}.$$

Quantized Teichmüller BAS (continued)

This is a **projective** BAS

- 1 $\hat{R}^3 = \text{id}_{\hat{V}}$;
- 2 $\hat{W}_{1,2}\hat{W}_{2,3} = \hat{W}_{2,3}\hat{W}_{1,3}\hat{W}_{1,2}$;
- 3 $\hat{R}_1\hat{R}_2\hat{W}_{2,1}\hat{R}_1^{-1}\hat{W}_{1,2} = e^{\frac{i\pi}{12\hbar}}\hat{P}_{1,2}$.

The corresponding properties of $\Phi_{\hbar}(x)$

$$\Phi_{\hbar}(x)\Phi_{\hbar}(-x) = \Phi_{\hbar}(0)^2 e^{i\pi x^2}, \quad \Phi_{\hbar}(0) = e^{\frac{i\pi}{24}(\frac{1}{\hbar}-2)},$$

$$\Phi_{\hbar}(\hat{\rho})\Phi_{\hbar}(\hat{q}) = \Phi_{\hbar}(\hat{q})\Phi_{\hbar}(\hat{\rho} + \hat{q})\Phi_{\hbar}(\hat{\rho}),$$

or equivalently

$$\Phi_{\hbar}(x)\Phi_{\hbar}(y) = \int_{\mathbb{R}^3} Q_{x,y}^{u,v,w} \Phi_{\hbar}(u)\Phi_{\hbar}(v)\Phi_{\hbar}(w) du dv dw,$$

$$Q_{x,y}^{u,v,w} := e^{i\pi(\frac{1}{4} + 2(u-x)(w-y) - (u-v+w)^2)}$$

Further remarks on $\Phi_{\hbar}(x)$

Special value $\hbar = 1/4$,

$$e^{-\frac{i\pi}{12}} \Phi_{1/4}(x) = e^{\frac{1}{2\pi i} \text{Li}_2(1-e^{2\pi x})} = e^{i\pi x^2/2} F(ix)$$

Hölder's double sine function (1886)

$$F(x) := e^x \prod_{n \geq 1} \left(\left(\frac{1 - \frac{x}{n}}{1 + \frac{x}{n}} \right)^n e^{2x} \right)$$

$F_{\hbar}(x) := e^{i\pi x^2/2} \Phi_{\hbar}(-ix) / \Phi_{\hbar}(0)$ [Shintani (1977), Ruijsenaars (1997)]

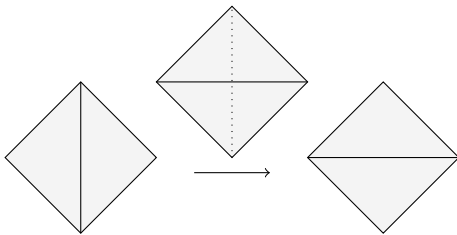
Relation to the Liouville central charge $c_L = 1 + \frac{6}{\hbar}$

Quasi-classical behaviour

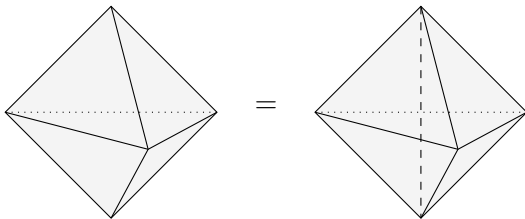
$$\Phi_{\hbar} \left(\frac{x}{2\pi\sqrt{\hbar}} \right) \underset{\hbar \rightarrow 0}{\sim} e^{\frac{1}{2\pi i \hbar} \text{Li}_2(-e^x)}$$

Lifting to three dimensions

Diagonal flips can be realized in 3d terms by gluing tetrahedra



The pentagon (5-term) identity and the 2 – 3 Pachner moves



X is “triangulated” \Leftrightarrow an **ordered Δ -complex (Hatcher)** is a CW-complex where all cells are standard simplexes
 $\Delta^n = \{t: \{0, \dots, n\} \rightarrow [0, 1] \mid \sum_i t_i = 1\}$ with face inclusion maps

$$f_i: \Delta^{n-1} \rightarrow \Delta^n, \quad f_i(t)_j = \begin{cases} t_j & \text{if } j < i \\ 0 & \text{if } j = i \\ t_{j-1} & \text{if } j > i \end{cases}$$

and if $\alpha: \Delta^n \rightarrow X$ is a characteristic map of a n -cell then $\partial_i \alpha := \alpha \circ f_i$ is a characteristic map of a $(n-1)$ -cell.

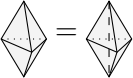
Notation:

- $X_i :=$ the set of i -dimensional simplexes of X
- $X_{i,j} := X_i \times (\Delta^i)_j$



Shape structures

Let X be a closed ($\partial X = \emptyset$) oriented triangulated pseudo 3-manifold.

- A **shape structure** $\alpha: X_{3,1} \rightarrow]0, \pi[$ provides each tetrahedron with dihedral angles of an ideal hyperbolic tetrahedron.
- **Gauge group** action in the space of shape structures is generated by the **total dihedral angles** around edges through the **Neumann–Zagier Poisson bracket**.
- An edge is **balanced** if the associated total dihedral angle is 2π . A shape structure with all edges balanced is known as **angle structure (Casson, Lackenby, Rivin)**.

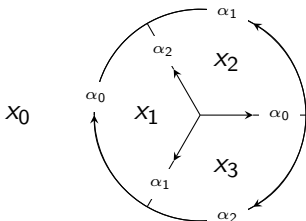
The gauge equivalence class of a shape structure is invariant under **angled 2-3 Pachner moves**  (balanced internal edge).

Labelled oriented tetrahedra

In a triangulated oriented pseudo 3-manifold there are two types of tetrahedra: positive  and negative 

Two types of labellings:

- real numbers on faces $x: T_2 \rightarrow \mathbb{R}$ (quantum states)
- dihedral angles of ideal hyperbolic tetrahedra on edges $\alpha: T_1 \rightarrow \mathbb{R}_{>0}$, $\sum_i \alpha(\partial_i \partial_j T) = \pi$



$$x_i := x(\partial_i T)$$

$$\alpha_i := \alpha(\partial_i \partial_0 T)$$

$$\alpha_0 + \alpha_1 + \alpha_2 = \pi$$

Neumann–Zagier symplectic structure: $\omega_{NZ} = d\alpha_0 \wedge d\alpha_2$

Tetrahedral weight functions

Define $W_{\hbar}(s, t, x, y, u, v) := \delta(x + u - y) \phi_{s,t}(v - u) e^{-2\pi i x(v-u)}$

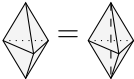
where $\phi_{s,t}(z) := \Phi_{\hbar}\left(z + \frac{\pi-s}{2\pi i \sqrt{\hbar}}\right) e^{tz/\sqrt{\hbar}}$.

To an oriented tetrahedron T with labellings x and α , associate the **weight function**

$$Z_{\hbar}(T, x, \alpha) = W_{\hbar}(\alpha_0, \alpha_2, x_0, x_1, x_2, x_3)$$

if T is negative and complex conjugate otherwise.

Properties

- **angled pentagon identity**  (balanced internal edge);
- **tetrahedral symmetry**.

In the (degenerate) case of a negative flat tetrahedron with dihedral angles $\alpha_0 = \alpha_2 = 0$, $\alpha_1 = \pi$, the weight function is given by the operator kernel (in the coordinate representation) of the operator \hat{W} of the quantum Teichmüller BAS

$$Z_{\hbar}(T, x, \alpha) = \langle x_1, x_3 | \hat{W} | x_0, x_2 \rangle.$$

The partition function

For a closed oriented triangulated pseudo 3-manifold X with shape structure α , associate the **partition function**

$$Z_h(X, \alpha) := \int_{x \in \mathbb{R}^{X_2}} \prod_{T \in X_3} Z_h(T, x, \alpha|_T) dx$$

Theorem (Andersen–K)

If $H_2(X \setminus X_0, \mathbb{Z}) = 0$, then $Z_h(X, \alpha)$ is well defined (i.e. the integral converges), and its absolute value

- depends on only the gauge equivalence class of α ;
- is invariant under angled 2 – 3 and 3 – 2 Pachner moves.

The construction extends to manifolds with boundary eventually giving rise to a generalised 3d TQFT.

Example of calculation

Hyperbolic S^3 with conical singularities along an embedded wedge of two circles

$$(X, \alpha, \beta, \gamma) = \begin{array}{c} \text{Diagram of a triangle with vertices and edges labeled } a, b, \gamma \text{ and interior angles } \alpha, \beta, \gamma. \end{array} \simeq S^3,$$

total dihedral angles

$$w(\bullet \rightarrow \bullet) = \alpha$$
$$w(\bullet \rightarrow \bullet) = 2\pi - \alpha$$

$$|Z_{\hbar}(X, \alpha, \beta, \gamma)| = \left| \Phi_{\hbar} \left(\frac{\alpha - \pi}{2\pi i \sqrt{\hbar}} \right) \right| = F_{\hbar} \left(\frac{\alpha - \pi}{2\pi \sqrt{\hbar}} \right) \stackrel{\hbar \rightarrow 0}{\sim} e^{-\frac{\text{vol}(X_{\alpha})}{2\pi \hbar}}$$

where

$$\text{vol}(X_{\alpha}) := \sup\{\text{vol}(\Delta^3, \alpha, \beta, \gamma) \mid \alpha \text{ is fixed}\} = 2\Lambda(\alpha/2),$$

with [Milnor's formula](#)

$$\text{vol}(\Delta^3, \alpha, \beta, \gamma) := \Lambda(\alpha) + \Lambda(\beta) + \Lambda(\gamma)$$

and [Lobachevsky's function](#)

$$\Lambda(x) := - \int_0^x \log |2 \sin t| dt$$

The volume conjecture for the Teichmüller TQFT

Let $[\alpha]$ be the gauge equivalence class of a shape structure $\alpha: X_{3,1} \rightarrow]0, \pi[$. Define

$$\text{vol}(X_{[\alpha]}) := \sup_{\beta \in [\alpha]} \sum_{T \in X_3} \text{vol}(\Delta^3, \beta|_T)$$

Conjecture (Volume Conjecture for Z_h)

$$\lim_{\hbar \rightarrow 0} 2\pi\hbar \log |Z_h(X, \alpha)| = -\text{vol}(X_{[\alpha]})$$

The VC for Z_h holds for some knot complements

- in S^3 : 4_1 and 5_2 [Andersen–K, 2014], 6_1 [Andersen–Kratmann–Nissen, 2017], twist knots [Ben-Aribi–Piguet–Nakazawa, in progress];
- in $\mathbb{R}P^3$: [Piguet–Nakazawa, in progress].

Summary

- By using the combinatorial framework of triangulations, the quantum Teichmüller theory gives rise to a generalised 3d TQFT.
- The **shape structures** given by dihedral angles of ideal hyperbolic tetrahedra ensure the **topological invariance** and **convergence**.
- It is expected that the associated invariant **exponentially decays** for $\hbar \rightarrow 0$, the decay rate being given by the **hyperbolic volume**.